

NAVAJO NATION DEPARTMENT OF JUSTICE

OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH Attorney General HEATHER CLAH Deputy Attorney General

DEPARTMENT OF JUSTICE INITIAL ELIGIBILITY DETERMINATION FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #:	
Date & Time Received:	
Date & Time of Response:	
Entity Requesting FRF:	
Title of Project:	
Administrative Oversight:	
Amount of Funding Requested:	
Eligibility Determination:	
☐ FRF eligible	
☐ FRF ineligible	
☐ Additional information requested	
FRF Eligibility Category:	
\square (1) Public Health and Economic Impact	· ·
☐ (3) Government Services/Lost Revenue	☐ (4) Water, Sewer, Broadband Infrastructure
U.S. Department of Treasury Reporting Exp	enditure Category:

Procedures): ☐ Expenditure Plan incomplete ☐ Missing Form ☐ Supporting documentation missing ☐ Funds will not be obligated by \square Project will not be completed by 12/31/202612/31/2024 ☐ Ineligible purpose ☐ Incorrect Signatory ☐ Submitter failed to timely submit CARES reports ☐ Inconsistent with applicable NN or ☐ Additional information submitted is insufficient federal laws to make a proper determination Other Comments: Name of DOJ Reviewer: Signature of DOJ Reviewer: Modis

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

THE NAVAJO NATION FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN FOR GOVERNANCE-CERTIFIED CHAPTERS

HER SO SE ENDOD

Part 1. Identification of parties.

or Project(s) by December 31, 2026:

Governance-Certified Chapter Houck Chapter requesting FRF:	Date prepared: 03-15-23
Chanter's P.O. Box 127	phone & email: 928-688-2734
mailing address: Houck, Arizona 86506	website (if any): houck@navajochapters.org
This Form prepared by: Laura Lee Yazzie	phone/email: loraleeyaz814@yahoo.com
Laura Lee Yazzie, Chapter Manager	928-551-0812
CONTACT PERSON'S name and title	CONTACT PERSON'S info
Title and type of Project: Housing Assistance	
Chapter President: Fred Footracer	phone & email: 928-245-9130 ironheadfred433@gmail.com
Chapter Vice-President: Ernest Hubbell	phone & email: 505-870-4875 ernhub45@gmail.com
Chapter Secretary: Virginia Barker	phone & email: 505-409-3271 pudgievalley@yahoo.com
Chapter Treasurer: Virginia Barker	phone & email: 505-409-3271 pudgievalley@yahoo.com
Chapter Manager or CSC: Laura Lee Yazzie	phone & email: 928-551-8012 loraleeyaz814@yahoo.com
DCD/Chapter ASO: Patricia Begay	phone & email: 928-7571 pdbegay@nndcd.org
housing renovation and roof repair. Amount of FRF requested: \$560,000 FRF funding period: 1	2/01/2 to 09/30/26
Part 2. Expenditure Plan details.	indicate Project starting and ending/deadline date
	ow the funds will be used, for what purposes, the location(s) to be served,
Housing assistance for minor/major renovations for replace windows, doors, install insulation/sheetrock construction of a handicap ramp. Some of these ho making it impossible for the families to follow strict (assessment for each families, and most of the familien renovation, addition to existing home due to overcrowere constructed in 1950, 60 & 70.	mes have no access to electricity & running water, COVID-19 protocols. We have conducted housing lies require some form of house repair for
(b) Explain how the Program or Project will benefit the Navajo Nation, N	
When this project is complete, thirty families will be	one step closer to the 21st Centure with the major es' living quality would be significantly improved. In live in the home will have improved basic
	☐ document attached
	the Project and/or each phase of the Project. Disclose any challenges er 31, 2024 and/or fully expending funds and completing the Program(s)

APPENDIX A

The hiring of a Construction Contractor, commencing December 01, 2024 and completion by September 30, 2026, in compliance with the Chapter's FMS Procurement Process. The challenges wibe to hire a Contractor who specializes in housing construction and meeting the deadline date
(d) Identify who will be responsible for implementing the Program or Project:
The key personnel for the project will be the Chapter Manager for project oversight & the Chapter
ARPA funds for the project oversight for technical aspects of the Housing Renovation Project. The ARPA funds for the project will be monitored through the MIP Financial System, providing a report.
(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:
The key personnel for the project will be the Chapter Manager for project oversight & the Administrative Assistant, in coordination with the Contractor who will have the overall oversight to conduct the housing renovations.
☐ document attached
(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:
3.12 Housing Support: Other Housing Assistance. Houck Chapter has long recognized the need to assist the families with a safe and healthy living environment for the family members, and with 45% of the homes needing renovation creates a major threat to health and safey, including access to electricity and water.
Part 3. Additional documents.
List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):
Houck Chapter Resolution and Budget 1-3.
☑ Chapter Resolution attached
Part 4. Affirmation by Funding Recipient.
Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:
Chapter's Preparer: Signature of Preparer/CdN/ACT PERSON Approved by: Approved by: Signature of Chapter President (or Vice-President) Approved by: Signature of Chapter President (or Vice-President) Approved by: Signature of Chapter ASO
Approved to submit for Review: Signature of DCD Director

THE NAVAJO NATION PROGRAM BUDGET SUMMARY

Page 1 of 3 BUDGET FORM 1

PART I. Business Unit No.:	NEW	Program Title:	ŀ	Houck Chapter - Housing Assistance Proj	ect	Division/Branch:	Navajo Nation	DCD
Prepared By: Laur	Prepared By: Laura Lee Yazzie		No.:	928-688-2734 Email	Address:	houck@	navajochapters.org	
PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type	(A) NNC Approved	(B)	(C) Difference or
Fiscal Recovery Funds	12/1/216	560,000.00	100%		Code	Original Budget	Proposed Budget	Total
	9/30/26			2001 Personnel Expenses				
				3000 Travel Expenses				
				3500 Meeting Expenses				
				4000 Supplies				
				5000 Lease and Rental				
				5500 Communications and Utilities				
				6000 Repairs and Maintenance				
				6500 Contractual Services				
				7000 Special Transactions			-	
				8000 Public Assistance				
				9000 Capital Outlay	6	0	560,000	560,000
				9500 Matching Funds				
				9500 Indirect Cost				
					TOTAL	\$0.00	560,000.00	560,000
				PART IV. POSITIONS AND VEHICLES		(D)	(E)	000,000
				Total # of Positions B	udgeted:		(-/	
	TOTAL:	\$560,000.00	100%	Total # of Vehicles B	_			
PART V. I HEREBY ACKNOWLED	GE THAT THE INF	ORMATION CON	TAINED	N THIS BUDGET PACKAGE IS COMPLET	E AND ACC	CURATE.		
SUBMITTED BY:	James Adakai, Dep	outy Director		APPROVED BY:	Calvin C	astillo, Division Directo	or	
	rogram Manager's		_ '	APPROVED BY: 3-20-23 Divisi		/ Branch Chief's Prin		
La	ura Lee Yazzie, Ch.	apter Manager	T	7-20-23		3	1	
· / Prog	ram Manager's Sig	nature and Date	·	Division	Director / B	ranch Chief's Signat	1/6	

FY 2023

THE NAVAJO NATION PROGRAM PERFORMANCE CRITERIA

Page 3 of 3 BUDGET FORM 2

Business Unit No.: NEW	Program Name/Title:			Н	ousing Assi	stance Pro	ject		
PART II. PLAN OF OPERATION/RESOLUTION NUMB	ER/PURPOSE OF PROGRAM:								
PART III. PROGRAM PERFORMANCE CRITERIA:		1st (QTR		QTR	3rd	QTR	4th	QTR
		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement:									
Assist families with minor/major renovations to their	homes.								
Program Performance Measure/Objective:	_								
Complete housing renovations by the end of the fur	iding period.	1	2	3	4	4	4		
2. Goal Statement:									
Program Performance Measure/Objective:									
3. Goal Statement:									
Program Performance Measure/Objective:		T							Γ
4. Goal Statement:									
Program Performance Measure/Objective:									
Market and the second s									
5. Goal Statement:									
Program Performance Measure/Objective:									
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABO	VE INFORMATION HAS BEEN THOROUGHL	Y REVIEW	/ED.						
James Adakai					Calvin Castillo				
Program Manager's Printed	Name		Divisio	n Director/	Branch Chie	f's Printed	Name		
Laura Lee Yazz		-		1		3/	20/23	3	
Rrogtam Manager's Signature	and Date	2	Division D	irector/Bra	anch Chief's	Signature	and Date		

THE NAVAJO NATION DETAILED BUDGET AND JUSTIFICATION

PART I. F	PROGRAM INFORMATION:				
	Program Name/Title:	Houck Chapter - Housing Assistance Project	Business Unit No.:	NEW	
<u></u>					·
PART II.	DETAILED BUDGET:				
(A)		(B)		(C)	(D)
Object				Total by	Total by
Code		Object Code Description and Justification (LOD 7)		DETAILED Object Code	MAJOR Object Code
(LOD 6)				(LOD 6)	(LOD 4)
6200	Hiring Construction Contractor			1 12000	(2004)
A		replacing windows & doors; install insulation/sheetrock; replace floor tiles, roof repair		. 560,000	560,000
	and construction of handicap ramps.	• • • • • • • • • • • • • • • • • • • •			000,000
1				!	
•				!	
1				1	
'	Į				
! '					
l '				!	
l '					
ĺ '					
i '					
i '					
l '				ļ ,	
1 '					
i '				1	
1 1					
1 1				1	
1 1					
, ,					
1					
, 1				ĺ	
, ,					
, ,					
, ,					
			TOTAL	560,000	560,000

THE NAVAJO NATION PROJECT BUDGET SCHEDULE

PART I. Business Unit No.: NEW				_																		PAF	RT II.			Proje	ect Inf	forma	ition	
Project Title: HOUSING AS	SIST	ANCE	PRO	JECT																		Proj	ect Ty	pe:	Hous	sing As	ssistar	nce P	roject	
Project Description Minor/Majo	or rend	ovation	ns to I	nomes	s, incl	uding (constr	uction	of ha	ndicap	o ram	ps.										Plan	ned S	tart Date				023		
																						1		nd Date:			30, 2			
Check one box:	7	Origina	al Bud	get		Bud	get Re	vision	[Bu	dget l	Reallo	cation		Budg	jet Mo	odifica	tion				Proj	ect Ma	nager:	Laura					
PART III.	PAR	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.																												
List Project Task separately; such as Plan, Design, Construct, Equip	FY 2024 ³												FY 2026										Expected Completion Date project exceeds 8 FY Qtrs							
or Furnish.		1st Qt	r		2nd C)tr		3rd Qtr.			4th Q	tr		1st Qtr.			2nd Qtr.		3rd Qtr.				4th C		Date				. 4110	
Hir Hire Construction Contractor and comply w/Procurement Process Obtain preliminary cost estimate for renovations Meet w/selected Contractor & provide list names of families for renovation Notice to Proceed to start housing renovations Start of housing renovations Completion of housing project	0	N	D	J	F	M x .	A	M	X	Jul	A	S	0	X	D	J	F	M	Α	X	J	Jul	A	S	0	N	D	J	F	M
PART V.		\$			\$			\$			\$			\$	-		\$	-		\$	\dashv		\$			DD) IEC.	TTO	TAI	
Expected Quarterly Expenditures	80.	000)	80	,000	,	80	,000		80	,000	>	90	, 60	,	0.	00		80	,000	,	RO	000		41			TOT	. OO	_
FOR OMB USE ONLY: Resolution								et Up I		-	1000			100		mpan				A - 2000 111				Analyst:			-10		. 00	_



HOUCK CHAPTER GOVERNANCE

P.O Box 127 Houck, AZ 86506 PH#: (928) 688-2734 Fax #: (928) 688-3068
Fred Footracer, Chapter President
Ernest Hubbell, Vice President
Virginia Barker, Chapter Secretary/Treasurer

Lomardo Aseret, Council Delegate
Celicia Yazzie-Denny, Grazing Official

HCR-031623-02

RESOLUTION OF THE HOUCK COMMUNITY CHAPTER

REQUESTING THE NAVAJO NATION COUNCIL AND THE DIVISION OF COMMUNITY DEVELOPMENT (CPMD/DCD) TO MAKE LEGISLATION CHANGE FROM THE ARPA CHAPTER WATERLINE PROJECT IN THE AMOUNT OF \$560,000.00 FOR HOUCK CHAPTER TO UTILIZE THE FUNDS FOR HOUSING ASSISTANCE PROJECT TO ASSIST THE HOUCK COMMUNITY MEMBERS WITH HOUSING RENOVATIONS

WHEREAS:

- 1. The Houck Community Chapter is a duly certified sub-governmental entity pursuant to 26 N.N.C., Section 3(A) and listed at 11 N.N.C., Part 1, Section 10; and
- Pursuant to 26 N.N.C., Section 1 (B), the Houck Community Chapter is vested with the
 authority to review matters affecting the community and take appropriate corrective
 measures necessary and make recommendation to the Navajo Nation and other local
 governmental agencies for appropriate action; and
- The purpose of the DCD is to ensure fair and equitable distribution of Navajo Nation General funds and other funds obtained to finance infrastructure and capital projects management and to ensure uniform and consistent application of policies, regulations, and laws applicable to the DCD; and
- 4. The Houck Chapter is requesting a legislation change to utilize the ARPA Chapter Waterline Project Funds CJN-29-22, in the amount of \$850,000.00, to be used for Housing Assistance Project for housing renovation project for its community members; and
- 5. The ARPA Chapter Project, converting the Waterline Project to Housing Assistance Project will have a life-long positive effect on the individuals who currently were not able to have a safe place to live, especially the vulnerable elders, who are unable to purchase building materials due to the high cost of building supplies, and some of our elders still living in dilapidated houses; and
- 6. With this ARPA Chapter Housing Assistance Project funds, the Houck Chapter will be able to provide a healthier environment for the individuals, and these families' will significantly be improved, and the health and safety of all who live in the home will have improved basic sanitation in improving the quality of life.

NOW, THEREFORE, BE IT RESOLVED THAT:

 The Houck Community hereby requests the Navajo Nation Council and the Division of Community Development (DCD) for Houck Chapter to utilize the funds in the amount of \$850,000.00 from ARPA Chapter Project Funds for the Waterline Project to provide assistance to the Houck Community Members with renovations, to replace windows, doors, install old insulation/sheetrock, replace floor tiles, roof repair, including the construction of a handicap ramp.

CERTIFICATION

We, hereby, certify that the foregoing re	esolution was duly	considered and moved for approval by
Magaie Murphy, and seconded by	Lisan'Kee	, at a duly called chapter meeting
at the Houck Chapter (Navajo Nation),	at which a quorum	was present and that same was passed by
a vote of <u>14</u> in favor, <u>O</u>	opposed, and	abstained, on this 16 th day of
March 2023.		· · · · · · · · · · · · · · · · · · ·
A LA L		4. 1.00,00
Mil Otolace	_	_am/lance
Fred Footracer, Chapter President		Ernest Hubbell, Vice President
1/4 1/4		
ferm, Bree	_	
Virginia Barker, Secretary/Treasurer		
<i>\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ </i>		
V		

HCR-031623-02: REQUESTING THE NAVAJO NATION COUNCIL AND THE DIVISION OF COMMUNITY DEVELOPMENT (CPMD/DCD) TO MAKE LEGISLATION CHANGE FROM THE ARPA CHAPTER WATERLINE PROJECT IN THE AMOUNT OF \$560,000.00 FOR HOUCK CHAPTER TO UTILIZE THE FUNDS FOR HOUSING ASSISTANCE PROJECT TO ASSIST THE HOUCK COMMUNITY MEMBERS WITH HOUSING RENOVATIONS



HOUCK CHAPTER GOVERNANCE

P O Box 127 Houck, AZ 86506 PH# (928) 688-2734 Fax #. (928) 688-3068
Fred Footracer Chapter President Celicia Yazzie-Denny Grazing Official Lomardo Aseret, Council Delegate
Virginia Barker Chapter Secretary/Treasurer Laura Lee Yazzie, Chapter Manager

HCR-031623-03

RESOLUTION OF THE HOUCK CHAPTER

ACCEPTING AND APPROVING THE ARPA ALLOCATION IN THE AMOUNT OF \$1,760,467.90 FOR HOUCK CHAPTER PROJECTS, AND IMPLEMENTING THE EXPENDITURE PLANS AND REPORTING REQUIREMENTS, WITH MONITORING AND OVERSIGHT BY THE NAVAJO NATION FISCAL RECOVERY FUND OFFICE

WHEREAS:

- 1. The Houck Chapter was established pursuant to 26 N.N.C., Chapter 1, Sub-Chapter 1, Section 3(a) by the Navajo Nation Council CAP-34-98; and
- The Houck Chapter complies with the Local Governance Act (LGA) and certified pursuant to 26 N.N.C., Section 102(B), which permits the Chapter to exercise authorities contained with 26 N.N.C., Section 103, including land administration authority; and
- The Houck Chapter has the authority to provide for, promote, preserve and protect the health, safety and welfare of its community members; and
- 4. The FRF funds must be incurred by December 31, 2024, meaning the Navajo Nation must obligate FRF funds by that date, and the period of performance runs until December 31, 2026, with all funded chapter projects must be completed by that date; and
- 5. All Chapter Projects have major impacts on the Houck Community, for increased demands for electrical/water services, old houses need major house wiring, and bathroom additions, with many families without bathroom facilities, and unable to be in compliance with COVID-19 protocols; and
- The Houck Chapter seeks all resources to accommodate short and long-term major needs for the community related to infrastructure development and have listed the ARPA Chapter Projects, in compliance with CJN-29-22, Section 3: Delegate Regional Plan:
 - Housing Assistance Project \$560,000.00
 Bathroom Addition Project \$705,000.00
 Rural Addressing Project \$150,000.00
- 7. Houck Chapter affirms that the Chapter will only use the awarded Fiscal Recovery Funds and implement the FRF Expenditure Plan in compliance with the ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations and policies.

NOW, THEREFORE, BE IT RESOLVED:

- 1. The Houck Chapter hereby accepts and approves the ARPA Allocation in the amount of \$1,760,467.90 for Houck Chapter Projects, and the Chapter's Expenditure Plans and Budgets, listed with CJN-29-22, Section 3: Delegate Regional Plan, in compliance with the reporting requirements, with monitoring and oversight by the Navajo Nation Fiscal Recovery Fund Office.
- The Houck Chapter will only use the awarded Fiscal Recovery Funds in compliance with the ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations and policies.

CERTIFICATION

e hereby certify that the foregoing resolution was considered at a duly called chanter meeting at
ouck (Arizona), Navajo Nation, where a quorum was present, and was motioned by
ve hereby certify that the foregoing resolution was considered at a duly called chapter meeting at ouck (Arizona), Navajo Nation, where a quorum was present, and was motioned by the condess of the cond
abstained this 16th day of March 2023.

Footracer, Chapter President

Ernest Hubbell, Vice President

irginia Barker, Secretary/Treasurer

HCR-021623-03: ACCEPTING AND APPROVING THE ARPA ALLOCATION IN THE AMOUNT OF \$1,760,467.90 FOR HOUCK CHAPTER PROJECTS, AND IMPLEMENTING THE EXPENDITURE PLANS AND REPORTING REQUIREMENTS, WITH MONITORING AND OVERSIGHT BY THE NAVAJO NATION FISCAL RECOVERY FUND OFFICE